

# ELECTRON AND ALPHA PARTICLE INFLUENCE ON THE EXCITATION OF RIGHT HAND POLARISED ION CYCLOTRON WAVES IN SOLAR EJECTA

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## Abstract

The resonant instability of right hand polarized (RHP) electromagnetic ion cyclotron waves (EICW) is studied for physical parameters of coronal mass ejections (CME), which are low proton beta,  $\beta_p$ , plasmas. Resonant excitation of RHP waves relies on negative ion thermal anisotropy,  $A < 0$ , ( $A = T_{\perp}/T_{\parallel} - 1$ ), and normally requires high  $\beta_p$ . We show that important enhancements of the instability are produced by two parameters, the product  $|\beta_{//,e} A_e| \sim 1$  for  $A_e < 0$ , and high  $\alpha/H^+$  abundance. Although variable in the same ejecta these features are often observed in CMEs and may lead to e-folding times of a few minutes. The EICW dispersion relation is based on bi-Maxwellians for all particle species and is solved numerically. Growth and absorption rates for relevant parameter sets are presented. RHP EICW emission in  $\beta_p \sim 0.1$  CMEs is found possible. This instability may help to explain the spectrum of low frequency fluctuations seen in many CMEs by WIND at 1 AU.

## 1. Physical effects and theory

Intense magnetic field fluctuations are being seen by WIND at 1 AU [1] at frequencies below the proton cyclotron frequency ( $\sim 0.25$  Hz in a 15 nT field). In a pilot work [2], we have examined the possibility that right hand polarized (RHP), resonant, electromagnetic ion cyclotron waves (EICW) are excited in solar ejecta with negative proton thermal anisotropy,  $A_p = (T_{\perp,p}/T_{\parallel,p}) - 1$ . In a proton - electron plasma the instability ordinarily requires proton beta,  $\beta_p \sim 0.1$  (or larger), but we found that a high  $\alpha/H^+$  abundance, together with  $A_p = A_e < 0$ , lead to significant e-folding times in a number of coronal mass ejections (CME) with

average  $\beta_p$  around 0.4. Here, however, we show that growth rate,  $\gamma$ , enhancements of these waves by factors of 10 to  $10^3$  can be produced by electron thermal properties, not included in the previous theory. Namely: (i) electron temperatures much larger than proton temperatures,  $T_e \gg T_p$ ; and (ii) negative electron thermal anisotropy,  $A_e < 0$ . These features are observed in many CMEs. CMEs form a heterogeneous collection of objects: individual members may have different values of, e.g., density ratio  $\eta = n_\alpha/n_{H^+}$ ,  $A_p$ ,  $A_e$ ,  $\beta_{//,e} = (w_{//,e}/v_a)^2 (1+2\eta) (m_e/m_p)$ , (parallel thermal speed  $w_{//} = (2T_{//}/m)^{1/2}$ ); proton Alfvén speed  $v_a = B/(4\pi n_{H^+} m_p)^{1/2}$ ,  $\beta_{//,p} = (w_{//,p}/v_a)^2$ ,  $\beta_{//,\alpha} = (w_{//,\alpha}/v_a)^2 (m_\alpha/m_p)$ , etc.. These parameters may also vary within the same ejecta. We take sets of parameter values from statistical surveys ([3, 4]) and case studies, and solve numerically the EICW kinetic dispersion relation:

$$k^2 c^2 = \omega^2 + \sum_s \omega_{ps}^2 \left[ A + \frac{(A+1)\omega + A\Omega}{kw_{//}} Z_0\left(\frac{\omega + \Omega}{kw_{//}}\right) \right]_s, \quad (1)$$

(summed over species index  $s$ =electrons, protons, and alphas; angular frequency  $\omega = \omega_r + i\gamma$ ; wavenumber  $//$  to the ambient field  $k$ ; cyclotron frequency  $\Omega$ ; plasma Zeta function  $Z_0$ ; see [5]). In 1 each species is modeled with Bi-Maxwellian distribution functions. We focus attention on the product  $|\beta_{//,e} A_e|$  (for  $A_e < 0$ ), and  $\alpha/H^+$ , whose effects on growth rates are large. Whilst  $A_p$ ,  $A_\alpha$  contribute directly to EICW growth rates, parameters  $|\beta_{//,e} A_e|$  and  $\alpha/H^+$  have an indirect effect. By slowing down the wave, they bring more ions into resonance by the anomalous Doppler effect:  $\omega_r - k v_{//} = -\Omega$  [6].

## 2. Main results on RHP wave emission - absorption

Results in figures 1-4 are in dimensionless variables:  $x_r = \omega_r/\Omega_p$ ,  $g = \gamma/\Omega_p$ ,  $v_{//}$  is in units of  $v_a$ , and  $y = k v_a / \Omega_p$ . We first present the alpha effect alone, without electron anisotropy. Figure 1 shows the effect of increasing  $\eta$  in steps from 0 to 0.15, for  $A_e=0$ , giving dimensionless damping rate,  $-g$ , growth rate,  $g$ , and wavenumber,  $y$ , as functions of dimensionless frequency  $x_r$ , for RHP waves (with average  $\beta_p=0.4$ ,  $T_e/T_p=4$ ,  $A_p=A_\alpha=-2/3$ , fixed). At fixed  $x_r$ , there are small decreases of phase velocity that lead to larger  $g$  as  $\eta$  increases, together with shifts of the maximum growth rate to smaller frequencies. We next show the stronger effect due to electron anisotropy and temperature, with an example at smaller  $\beta_p=0.2$ , in Fig. 2. Here,  $A_e=-1/2$  and  $T_e/T_p$  varies from 1 to 8, while  $T_e/T_p=4$ ,  $A_p=A_\alpha=-3/4$ ,  $\eta=0.08$  are constant.

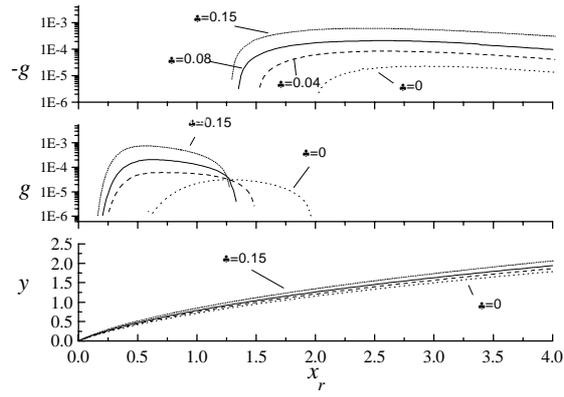
We note a considerable slowing down of the wave speed and a correspondingly large increment in  $g$  (up to 0.015). When compared with Fig. 1, substantial growth rates extend over a wide frequency range, and reach down to lower frequencies. The origin of this effect is illustrated in Fig. 3, where the change of the resonant velocity of the  $\alpha$ s,  $v_{res}$ , is shown vs  $x_r$ , for the same parameter set of Fig. 2, as  $T_e/T_p$  varies. When  $T_e/T_p$  increases from 1 to 8, the minimum of  $v_{res}$  is lowered by a factor  $\sim 2$ , which implies a  $\sim 50$ -fold increase in the bi-Maxwellian for  $v_{\parallel,\alpha} = v_{res}$ , so that many more ions can resonate. Protons undergo a similar effect. A calculation with  $\beta_p=0.1$ ,  $T_e/T_p=10$ ,  $A_e=-2/3$ ,  $T_\alpha/T_p=4$ ,  $A_p=A_\alpha=-9/10$ , and  $\eta=0.08$  gives maximum  $g \sim 3 \times 10^{-3}$ , i.e., still a significant growth rate for CME conditions. Thus, EICW excitation may be found also for low  $\beta_p \sim 0.1$ , thus encompassing more CMEs. Finally, we present the electron thermal anisotropy effect in Fig. 4, where  $A_e$  changes from 0 to -0.86. Here  $\beta_p=0.08$ ,  $\beta_e=0.8$  (i.e.,  $T_e/T_p=7.7$ ),  $T_\alpha/T_p=4$ ,  $A_p=A_\alpha=-0.86$ , and  $\eta=0.15$  are fixed. For  $A_e=-0.86$ ,  $g$  reaches the 0.01 range, although  $\beta_p$  is as low as 0.08. The absorption is shifted to very high frequencies,  $x_r > 5$ . The growth rate is a strongly nonlinear function of  $A_e$ . As  $A_e$  changes from 0.67 to 0.86,  $g$  increases by about three orders of magnitude and the instability range is more than doubled. In summary, these effects may help explain the spectrum of low frequency fluctuations seen in many CMEs by WIND at 1 AU.

## Acknowledgements

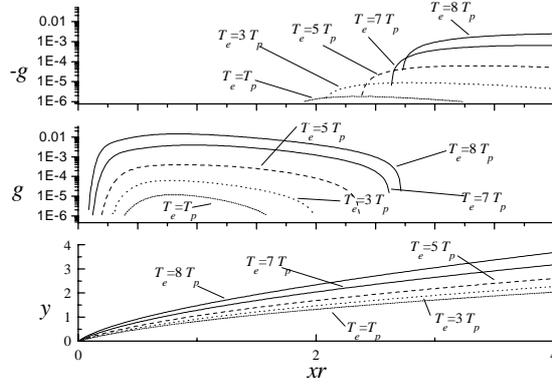
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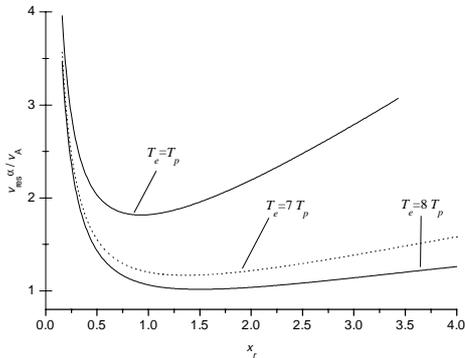
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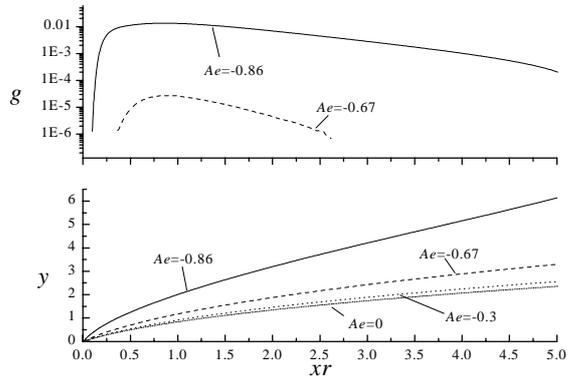
**Fig. 1.** Dimensionless damping rate  $-g$ , growth rate  $g$  and wavenumber  $y$  vs dimensionless frequency  $x_r$  for RHP waves. Dependence on alpha particle properties: variations of  $\eta$  (with  $A_p=A_\alpha=-2/3$ ,  $\beta_p=0.4$ ,  $T_e/T_p=4$  constants).



**Fig. 2.** Similar to Fig. 1 but showing dependence on  $T_e/T_p$ : variations of  $T_e$  (with  $A_p=A_\alpha=-3/4$ ,  $A_e=-1/2$ ,  $\beta_p=0.2$ ,  $T_e/T_p=4$ ,  $\eta=0.15$  constants).



**Fig. 3.**  $v_{res}/v_a$  as a function of  $x_r$ . Dependence of  $v_{res}$  on  $T_e/T_p$ . Same constant parameter set as in Fig. 2.



**Fig. 4.** Similar to Fig. 1 but showing electron thermal anisotropy effect (with  $A_p=A_\alpha=-0.86$ ,  $\beta_p=0.08$ ,  $\beta_e=0.8$ ,  $T_e/T_p=4$ ,  $\eta=0.15$  constants).