

## Thomson Scattering spectra of $\alpha$ -particle-heated electrons in ITER

F. Castejón<sup>1,2</sup>, J. Guasp<sup>1</sup>, M. Tereshchenko<sup>2</sup>, R. Álvarez-Estrada<sup>2,3</sup>, and I. Pastor<sup>1</sup>

<sup>1</sup>Asociación Euratom/Ciemat para Fusión. Avenida Complutense 40, 28040 Madrid, Spain.

<sup>2</sup>Instituto de Biocomputación y Física de Sistemas complejos. Universidad de Zaragoza, 50018 Zaragoza, Spain

<sup>3</sup>Departamento de Física Teórica I, Facultad de Ciencias Físicas, Universidad Complutense, 28040 Madrid, Spain.

### Abstract

A study of the effects of  $\alpha$ -particle heating on the electron distribution function (EDF), and subsequently on the Thomson Scattering (TS) measurements is performed for ITER-relevant plasmas. The electron distribution function is computed using a Fokker-Planck code, including ECE losses, and the results show that the effect of such additional heating on the EDF is remarkable, the average electron kinetic energy being substantially increased with respect to its initial value, taken in the range from 18 to 40 keV. The TS spectrum is computed for the outcoming EDF and the substantial increase of electron temperature when  $\alpha$ -particle heating would be present makes that this effect should be taken into account in the design of the proposed TS system for ITER.

### 1.- Introduction

The ITER plasmas will pose several challenges to TS system when such device be fully operational and fast ions and  $\alpha$ -particle heating be available. Evaluating the impact of fast-ions and  $\alpha$ -particle heating on the EDF, and on the TS spectrum arising from it, is mandatory. New developments must be used since the customary TS approximations can be doubtful in such plasmas: relativistic effects are not negligible and are taken into account by introducing new techniques in the simulations allowing us to go beyond standard TS approximations, namely, the inclusion of arbitrary EDFs and the computation of scattered spectra from first principles, as well as the inclusion of arbitrary non-Maxwellian EDFs [1]. The ITER TS system is specified to measure electron temperatures of up to 40 keV, with spatial resolution of the order of 6 cm, at 100 Hz repetition rate, which is not enough taking into account the results shown here. In any case, the measurements will be rather challenging due to the high electron temperature involved, which may be well expected to cause both a large blue-shift of the maximum of the scattered emission with respect to the incoming laser wavelength, and a large overall broadening of the spectrum. If, as argued at length in this paper, the electron temperature turns out to be substantially higher than 40 keV due to the combined effect of fast-ions and alpha-particle heating, then this fact will have to be considered on the overall design of the ITER TS system.

### 2.- Fokker-Planck calculations of the EDF

When fully operational, ITER will produce a substantial amount of  $\alpha$ -particles coming from the fusion reactions, which will provide the main contribution to plasma heating, allowing a gain factor  $Q=10$  in 30 min pulses. The  $\alpha$ -particles will transfer their energy mainly to

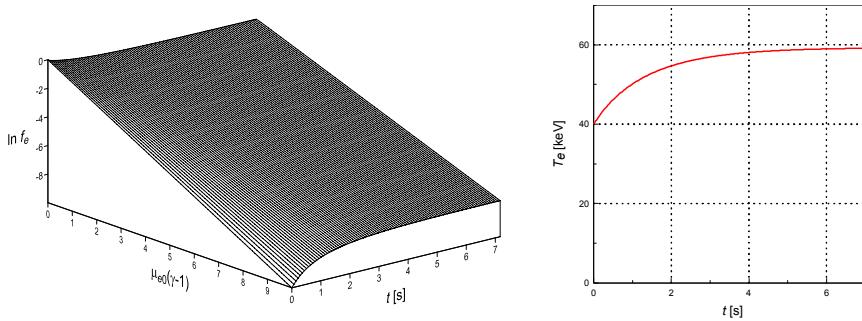


Figure 1: Evolution of EDF and  $T_e$  for  $T_{e0}=40$  keV and  $n_a=1.8 \times 10^{18} \text{ m}^{-3}$ .

obtained using the new developed TS techniques, starting from the EDF. In order to obtain the EDF, a series of FP computations has been performed. As will be seen, the results clearly show that irrespective of the initial temperature of the EDF (assumed to be an isotropic relativistic Maxwellian with temperature in the range from 10 to 40 keV), a final equilibrium is achieved for a substantially higher electron temperature, with significant variation depending on the initial temperature of the Maxwellian distribution.

We perform the calculations assuming homogeneous plasmas and we estimate the distribution function of the electron population in a background plasma formed by the electrons themselves, the ions and the alpha particles, taking into account electron cyclotron emission (ECE) losses. The electron density is considered to be equal to the ion one and equal to  $n=8 \times 10^{19} \text{ m}^{-3}$ . The ion temperature is assumed constant and equal to the initial electron one, and the  $\alpha$ -particles are considered to have a constant kinetic energy of  $E_\alpha=3.5 \text{ MeV}$  and to be distributed isotropically [3]. We solve the FP equation assuming that only the electron distribution function will evolve. Relativistic effects must be considered for this high energy electrons, while ions and  $\alpha$ -particles are non-relativistic, although we will consider these particles as relativistic too. The equation to solve is [4]:

$$\frac{\partial f_e}{\partial t} = \frac{\nu_{e0}}{p^2} \frac{\partial}{\partial p} \sum_{j=e,i,\alpha} Z_j^2 \left( \frac{m_e}{m_j} A_j(p) f_e + B_j(p) \frac{\partial f_e}{\partial p} \right), \quad (1)$$

Here, we have introduced the electron collisionality  $\nu_{e0}$ . The convective term of the equation includes the function:

$$A_j(p) = \gamma^2 \int_0^p p'^2 \gamma'^{-1} \bar{f}_j(p') (3 - p'^2 \gamma'^{-2}) dp' + 2p^3 \gamma^{-1} \int_p^\infty p' \bar{f}_j(p') dp', \quad (2)$$

and the diffusion in momentum space is governed by the coefficient:

electrons, given the fact that the critical kinetic energy is  $\approx 500$  keV for the expected plasma parameters in ITER [2]. The TS spectra that can be expected in these D-T plasmas must be

$$B_j(p) = \frac{\gamma^3}{p} \int_0^p p'^4 \gamma'^{-2} \bar{f}_j(p') dp' + p^2 \int_p^\infty p' \gamma' \bar{f}_j(p') dp' , \quad (3)$$

In the case of not very high temperature the coefficients  $B_j$  ( $j = e, i$ ) can be expressed via the incomplete gamma functions:

$$B_j \approx \frac{3\sqrt{2}}{\mu_{e0}^{3/2} \mu_j} \frac{n_j}{n_e} \left\{ \frac{\gamma^3}{p} \int_0^{\mu_j(\gamma-1)} \sqrt{z} \left( 1 - \frac{5}{12} \frac{z}{\mu_j} \right) e^{-z} dz + \frac{\sqrt{2\mu_j}}{3} p^2 (\gamma + \mu_j^{-1}) e^{\mu_j(1-\gamma)} \right\} ; \quad (9)$$

the expression for  $B_\alpha$  is

$$B_\alpha = \frac{\sqrt{2\pi}}{\mu_{e0}^{3/2} \mu_\alpha} \frac{n_\alpha}{n_e} \left\{ \frac{\gamma^3}{p} U(p - p_\alpha) + \frac{p^2}{p_\alpha^3} U(p_\alpha - p) \right\} . \quad (10)$$

We have defined  $\mu_{e0} = m_e c^2 / T_{e0}$ ,  $T_{e0}$  being the initial electron temperature of the simulation. In principle, for high electron temperatures, the electron cyclotron emission (ECE) is a significant loss mechanism. In fact, following the Trubnikov formula [5], the emitted power rises strongly with temperature  $P_{ECE} \propto T_e^{5/2}$ , but depending on the wall reflection coefficient  $R_w$ , a fraction of this power can be reabsorbed by the plasma. So the ECE is a significant loss mechanism for  $T_e > 30$  keV [6]. The effect of extra electron cooling can be modelled via an

additional heuristic term in the collision operator, which consists of introducing a virtual kind of particles whose coefficient in the collision operator is obtained from the given value of transferred power  $P_{ECE}$ . The steady state density of alpha particles for  $T_i = T_{e0}$ , in the case of a 50% - 50% DT plasma, can be obtained following [7]. Taking  $Z_{eff} = 2.3$ ,

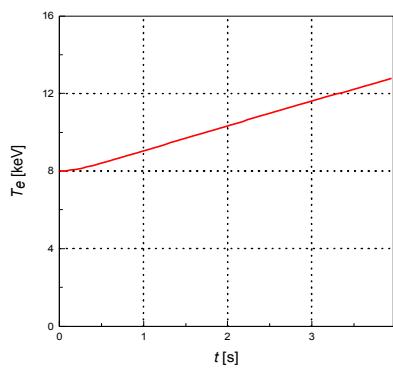


Figure 2: Evolution of  $T_e$  in JET DT experiments, due to electron heated by  $\alpha$ -particles.

$n_e = 8 \times 10^{19} \text{ m}^{-3}$ ,  $T_{e0} = 18 \text{ keV} / 30 \text{ keV} / 40 \text{ keV}$ , this calculation gives  $n_\alpha = 4.1 \times 10^{17} \text{ m}^{-3} / 1.2 \times 10^{18} \text{ m}^{-3} / 1.8 \times 10^{18} \text{ m}^{-3}$ , respectively. An extra calculation has been done for  $T_{e0} = 40 \text{ keV}$  and  $n_\alpha = 4 \times 10^{18} \text{ m}^{-3}$  just to show the strong effect on electron temperature of stronger fusion heating. The final results for these four cases are  $T_e = 28.2, 46.1, 59.5$  and  $72.6 \text{ keV}$ , respectively. Figure 1 shows the evolution of EDF and  $T_e$  for the third case. These FP calculations have been validated with JET experimental results [8]. Figure 2 shows the estimated evolution of  $T_e$  for JET in the latter experimental conditions, showing an excellent agreement with the experiments.

#### 4. TS spectra of alpha-heated plasmas.

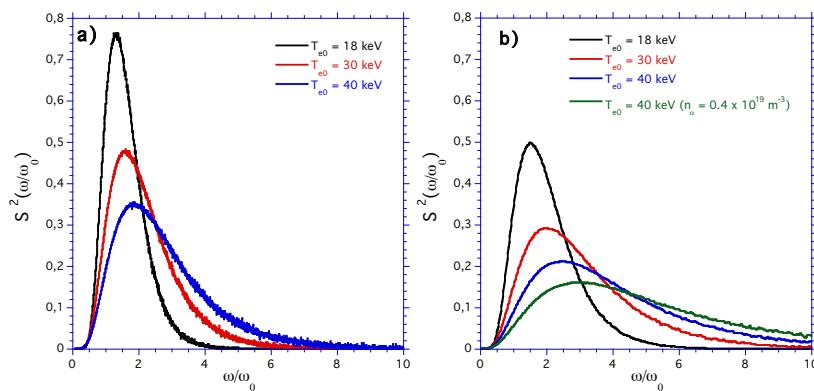


Figure 3: TS spectra for a) the initial temperatures of the three considered cases and b) for the final EDFs of the four cases.

In all the cases the frequency spectra calculations have been done using the formerly developed techniques. It is seen that the calculated spectra are widened and shifted to the blue. Another point of interest is the polarization composition of the scattered spectra. It is well known that as the electron

temperature increases, and for linear input polarization of the incoming laser, the scattered radiation acquires a polarization component orthogonal to that polarization, the overall effect being a depolarization of the scattered light. This effect should also be taken into account in analyzing the performance of the ITER TS system. A comparison among the frequency spectra for the four cases appears in Figure 2, showing in Fig. 2a) the spectra for the initial temperatures and in Fig. 2b) for the final FP EDFs. This brings out and makes evident the clear blue shift of the final FP spectra in all cases (mainly for high temperatures), as well as the widening, and the emergence of high frequency tails.

#### 5.- Conclusions

The effects of alpha particle heating on TS measurements in ITER plasmas have been explored in this paper, using improved TS simulation techniques. The results show that the final EDF is compatible with a relativistic Maxwellian, but with a substantially higher electron temperature. The corresponding TS spectra are blue-shifted and widened and these predicted frequency shifts and spectral widenings should have some impact on the designs of the TS system for ITER: the possibility of using several spectrometers should be contemplated (one for  $Te \leq 40$  keV for H plasmas and another one for  $Te \geq 60$  keV for D-T plasmas). Alternatively, the inclusion in the system of a second laser whose fundamental wavelength would be  $\approx 2 \mu m$  to compensate with this longer wavelength the larger blue-shift.

---

- [1] I. Pastor et al. *Nuclear Fusion* **52** (2012) 123013
- [2] Stix T. H. 1971, *Plasma Phys.* **14** 367
- [3] ITER Physics Expert Group on Energetic Particles 1999, *Nucl. Fusion* **39** 2495
- [4] Karney C. F. F. and Fisch N. J. 1985, *Phys. Fluids* **28** 116
- [5] Trubnikov B. A..1979 “Universal coefficients for synchrotron emission from plasma configurations”. Rev. of Plasma Physics, Vol. 7, ed. M. A. Leontovich New York
- [6] Albajar F., Bornatici M. and Engelmann F. 2009 *Nucl. Fusion* **49** 115017
- [7] Uckan N. A. et al. 1988 *Fusion Techn.* **13** 411
- [8] A. Gibson and the JET Team, *Phys. Plasmas* **5** (1998) 1839