

## Finite-aspect-ratio effects on neoclassical transport coefficients, revisited

M. Taguchi

*College of Industrial Technology, Nihon University, Narashino, 275-8576, Japan*

The neoclassical moment method is improved by increasing the accuracy of approximation to the linearized Fokker-Planck collision operator. In this paper, we apply this improved method to the calculation of ion flow velocity for a plasma with one impurity species.

Let us write the perturbed distribution function as  $f_{a1} = -(Iv_{\parallel}/\Omega_a)f'_{a0} + g_a$  in an axisymmetric magnetic field  $B = I(\psi)\nabla\varphi + \nabla\varphi \times \nabla\psi$ , where  $v_{\parallel} = B \cdot v/B$ ,  $\Omega_a$  is the Larmor frequency,  $2\pi\psi$  is the poloidal flux and  $\varphi$  is the toroidal angle. Then, in the banana regime, the function  $g_a$  for trapped particles ( $\lambda > \lambda_c$ ) is identically zero, where  $\lambda = (1 - v_{\parallel}^2/v^2)/B$  and  $\lambda_c = 1/B_{\max}$ . The analytic function  $g_a$  for passing particles ( $\lambda < \lambda_c$ ) can be obtained from the solubility condition by using some sort of approximation to the linearized Fokker-Planck collision operator  $C_{ab}(f_{a1}, f_{b1})$ .

We introduce the following approximate collision operator:

$$C_{ab}(f_{a1}, f_{b1}) \simeq v_D^{ab}(v)\mathcal{L}(f_{a1}) + \sum_{l=0}^3 P_l(\xi)\hat{C}_{ab}^l(f_{a1}^1, f_{b1}^1) \quad (1)$$

with

$$\hat{C}_{ab}^l(f_{a1}^1, f_{b1}^1) = C_{ab}^l(f_{a1}^1, f_{b1}^1) + \frac{l(l+1)}{2}v_D^{ab}(v)f_{a1}^l, \quad (2)$$

where  $f_{a1}^l = (l+1/2)\int_{-1}^1 P_l(\xi)f_{a1}d\xi$ ,  $C_{ab}(P_l(\xi)f_{a1}^l(v), P_l(\xi)f_{b1}^l(v)) = P_l(\xi)C_{ab}^l(f_{a1}^l(v), f_{b1}^l(v))$ ,  $\xi = v_{\parallel}/v$ ,  $\mathcal{L}$  is the pitch-angle scattering operator, the deflection collision frequency  $v_D^{ab}(v) = v_{ab}[\text{erf}(v/v_b) - G(v/v_b)](v_a/v)^3$  with  $G(x) = [\text{erf}(x) - (2x/\sqrt{\pi})\exp(-x^2)]/(2x^2)$  and  $v_{ab} = 4\pi n_b e_a^2 e_b^2 \log \Lambda / (m_a^2 v_a^3)$ , thermal velocity  $v_a = \sqrt{2T_a/m_a}$ , and  $n_a$  and  $T_a$  are the number density and temperature, and  $m_a$  and  $e_a$  are the mass and charge. Using this approximate collision operator, we can obtain the distribution function for passing particles in the form

$$g_a = \frac{1}{2} \frac{\sigma}{v_D^a(v)} \int_{\lambda}^{\lambda_c} \frac{d\lambda}{\langle \sqrt{1-\lambda B} \rangle} G_{a1}(v) + \frac{5}{8} \frac{\langle B^4 \rangle}{\langle B^3 \rangle} \frac{\sigma}{v_D^a(v)} \int_{\lambda}^{\lambda_c} \frac{\lambda d\lambda}{\langle \sqrt{1-\lambda B} \rangle} G_{a2}(v), \quad (3)$$

where  $\sigma = v_{\parallel}/|v_{\parallel}|$ ,  $v_D^a(v) = \sum_b v_D^{ab}(v)$ ,  $\langle \cdot \rangle$  denotes the flux-surface average,

$$G_{a1} = \sum_b \left[ \hat{C}_{ab}^1(K_{a1}, K_{b1}) + \hat{C}_{ab}^3(K_{a3}, K_{b3}) - C_{ab}^1 \left( \frac{IB}{\Omega_a} v f'_{a0}, \frac{IB}{\Omega_b} v f'_{b0} \right) \right] \quad (4)$$

and

$$G_{a2} = \sum_b \left[ \frac{7}{3} F_t \hat{C}_{ab}^3(K_{a1}, K_{b1}) - \hat{C}_{ab}^3(K_{a3}, K_{b3}) \right] \quad (5)$$

with  $F_t = 1 - \langle B^3 \rangle^2 / \langle B^2 \rangle \langle B^4 \rangle$ . The function  $K_{a1}(v) \equiv \langle Bg_a^1 \rangle$  is determined by the equation

$$\frac{f_t}{f_c} v_D^a(v) K_{a1} - \sum_b C_{ab}^1(K_{a1}, K_{b1}) - \Delta_t \sum_b \hat{C}_{ab}^3(K_{a1}, K_{b1}) = - \sum_b C_{ab}^1 \left( \frac{IB}{\Omega_a} v f'_{a0}, \frac{IB}{\Omega_b} v f'_{b0} \right) \quad (6)$$

with

$$\Delta_t = \frac{7}{3} (F_t \tilde{f}_c + \tilde{f}_t \bar{f}_c), \quad (7)$$

where  $f_t = 1 - f_c$ ,  $\tilde{f}_t = 1 - \tilde{f}_c$ ,  $\bar{f}_t = \tilde{f}_t + F_t \tilde{f}_c$ ,

$$f_c = \frac{3}{4} \langle B^2 \rangle \int_0^{\lambda_c} \frac{\lambda d\lambda}{\langle \sqrt{1 - \lambda B} \rangle}, \quad (8)$$

$$\tilde{f}_c = \frac{15}{16} \frac{1}{f_c} \frac{\langle B^4 \rangle \langle B^2 \rangle}{\langle B^3 \rangle} \int_0^{\lambda_c} \frac{\lambda^2 d\lambda}{\langle \sqrt{1 - \lambda B} \rangle}, \quad (9)$$

and the function  $K_{a3}(v) \equiv \langle Bg_a^3 \rangle$  is approximately obtained from the relation

$$K_{a3}(v) \simeq \frac{7}{3} \bar{f}_t K_{a1}(v). \quad (10)$$

The flow velocity is expressed in the form

$$u_a = u_{a\theta} B - \frac{T_a}{m_a \Omega_a} \left( \frac{p'_a}{p_a} + \frac{e_a \Phi'}{T_a} \right) R^2 \nabla \varphi, \quad (11)$$

where the poloidal flow  $u_{a\theta}$  is written in terms of the function  $K_{a1}(v)$  as  $u_{a\theta} = (4\pi/3n_a) \times (1/\langle B^2 \rangle) \int_0^\infty dv v^3 K_{a1}$ .

We next explicitly calculate the poloidal flows of primary ions and impurities. Let us expand the function  $K_{a1}(v)$  in a series of the associate Laguerre polynomials of order 3/2 and retain only the first and second terms:  $K_{a1}(v) \simeq (m_a/T_a) \langle B^2 \rangle v [u_{a\theta} - (2/5)q_{a\theta}/p_a (5/2 - v^2/v_a^2)] f_{a0}$ , where  $p_a = n_a T_a$  and  $f_{a0}$  is the Maxwell distribution function. Inserting this expansion for  $K_{a1}$  into Eq.(6) and taking velocity moment with respect to  $v^3$  and  $v^3(5/2 - v^2/v_a^2)$  lead to a set of coupled algebraic equations for  $u_{a\theta}$  and  $q_{a\theta}$ . From here, the subscripts  $i$  and  $I$  represent the primary and impurity ions. Assuming that the primary ions are in the banana regime and using the smallness of the mass ratio  $m_i/m_I$ , we solve this coupled equations to find the poloidal flows  $u_{i\theta}$  and  $u_{I\theta}$ :

$$\begin{bmatrix} u_{i\theta} \\ u_{I\theta} \end{bmatrix} = \frac{IcT_i}{e_i \langle B^2 \rangle} \sum_{k=1}^4 \begin{bmatrix} u_{ik} \\ u_{Ik} \end{bmatrix} A_k, \quad (12)$$

where

$$\begin{bmatrix} u_{i1} \\ u_{i2} \\ u_{i3} \\ u_{i4} \end{bmatrix} = \frac{1}{D_i} \begin{bmatrix} \beta_1 s_1 \\ \tilde{\mu}_{i2} s_2 - (3/2) \tilde{\mu}_{i3} s_1 \\ \beta_1 s_3 \\ -5\alpha \mu_{i2} \delta \delta_T \beta_1 / D_I \end{bmatrix}, \quad (13)$$

$$\begin{bmatrix} u_{I1} \\ u_{I2} \\ u_{I3} \\ u_{I4} \end{bmatrix} = \frac{\delta}{D_I D_I} \left( \mu_{I3} + \sqrt{2} + \frac{15}{2} \delta \right) \begin{bmatrix} \beta_2 \\ \beta_3 \\ \beta_4 \\ \beta_5 \end{bmatrix} - \frac{\mu_{I2}}{D_I} \begin{bmatrix} 0 \\ 0 \\ \sqrt{2} + 15\delta/2 \\ 5\delta\delta_T \end{bmatrix}, \quad (14)$$

and the thermal forces are defined by  $A_1 = p'_i/p_i - (e_i/e_I)(T_I/T_i)(p'_I/p_I)$ ,  $A_2 = T'_i/T_i$ ,  $A_3 = (e_i/e_I)(T'_I/T_i)$ ,  $A_4 = (T_I/T_i)(e_i/e_I)(p'_I/p_I + e_I\Phi'/T_I)$ . The parameters in (13) and (14) are defined as  $\alpha = n_I e_I^2 / (n_i e_i^2)$ ,  $\delta = (1/\alpha) \sqrt{m_i/m_I} / (T_I/T_i)^{3/2}$ ,  $\delta_T = 1 - T_i/T_I$ ,  $\beta_1 = \tilde{\mu}_{i3} - s_2 + (3/2)(\tilde{\mu}_{i2} - 3s_1/2)$ ,  $\beta_2 = (9s_1/4 + s_2)\tilde{\mu}_{i1} + \tilde{\mu}_{i2}^2 - \tilde{\mu}_{i1}\tilde{\mu}_{i3}$ ,  $\beta_3 = (3/2)(\tilde{\mu}_{i1}\tilde{\mu}_{i3} - \tilde{\mu}_{i2}^2) + (9s_1/4 + s_2)\tilde{\mu}_{i2}$ ,  $\beta_4 = 9\tilde{\mu}_{i1}/4 + 3\tilde{\mu}_{i2} + \tilde{\mu}_{i3} - 9s_1/4 - s_2$ ,  $\beta_5 = -5(\alpha/D_I)\mu_{I2}\delta\delta_T\beta_4$ ,

$$D_i = (\tilde{\mu}_{i1} + s_1)(\tilde{\mu}_{i3} - s_2) - \left( \tilde{\mu}_{i2} - \frac{3}{2}s_1 \right)^2, \quad (15)$$

$$D_I = (\mu_{I1} + \delta) \left( \mu_{I3} + \sqrt{2} + \frac{15}{2} \delta \right) - \mu_{I2} (\mu_{I2} + 5\delta_T \delta), \quad (16)$$

$$s_1 = \frac{\alpha}{D_I} \left\{ \mu_{I1} \left( \mu_{I3} + \sqrt{2} + \frac{15}{2} \delta \right) - \mu_{I2} (\mu_{I2} + 5\delta_T \delta) \right\}, \quad (17)$$

$$s_2 = \frac{1}{D_I} \left\{ -(\mu_{I3} + \sqrt{2} + \frac{15}{2} \delta) \left[ \sqrt{2}(\mu_{I1} + \delta) + \frac{13}{4} \alpha \mu_{I1} + \alpha \delta \right] + \left( \sqrt{2} + \frac{13}{4} \alpha \right) \mu_{I2} (\mu_{I2} + 5\delta_T \delta) \right\}, \quad (18)$$

$$s_3 = -\frac{\alpha}{D_I} \mu_{I2} \left( \sqrt{2} + \frac{15}{2} \delta \right). \quad (19)$$

The viscosity coefficients for primary ions are written as

$$\begin{bmatrix} \tilde{\mu}_{i1} \\ \tilde{\mu}_{i2} \\ \tilde{\mu}_{i3} \end{bmatrix} = \begin{bmatrix} \mu_{i1} \\ \mu_{i2} \\ \mu_{i3} \end{bmatrix} - \Delta_t \begin{bmatrix} (\hat{C}_{ii}^3)_{00} \\ (-\hat{C}_{ii}^3)_{01} \\ (\hat{C}_{ii}^3)_{11} \end{bmatrix}, \quad (20)$$

where the conventional viscosity coefficients are given by  $\mu_{i1} = (f_t/f_c)[\sqrt{2} + \alpha - \log(1 + \sqrt{2})]$ ,  $\mu_{i2} = (f_t/f_c)[-2\sqrt{2} - 3\alpha/2 + (5/2)\log(1 + \sqrt{2})]$  and  $\mu_{i3} = (f_t/f_c)[(39/8)\sqrt{2} + 13\alpha/4 - (25/4)\log(1 + \sqrt{2})]$ , and the matrix elements of  $\hat{C}_{ii}^3$  are calculated as follows:  $(\hat{C}_{ii}^3)_{00} = -(1087/63)\sqrt{2} + (589/21)\log(1 + \sqrt{2})$ ,  $(\hat{C}_{ii}^3)_{01} = -(143/126)\sqrt{2} + (55/21)\log(1 + \sqrt{2})$ ,  $(\hat{C}_{ii}^3)_{11} = (50923/504)\sqrt{2} - (13625/84)\log(1 + \sqrt{2})$ . The viscosity coefficients for impurities in the plateau to Pfirsch-Schlüter regime are given by

$$\begin{bmatrix} \mu_{I1} \\ \mu_{I2} \\ \mu_{I3} \end{bmatrix} = \frac{\tau_{II}}{n_I} \frac{8\pi}{3} \int_0^\infty dv \frac{v^4}{v_I^2} v_D^I(v) \frac{f_{tI}^*}{1 + f_{tI}^*/\hat{f}_{tI}} f_{I0} \begin{bmatrix} 1 \\ \frac{v^2}{v_I^2} - \frac{5}{2} \\ \left( \frac{v^2}{v_I^2} - \frac{5}{2} \right)^2 \end{bmatrix}, \quad (21)$$

where  $\tau_{II} = 3\sqrt{\pi}/(4v_{II})$ ,

$$\hat{f}_{tI} = \frac{3}{5} \frac{\langle (b \cdot \nabla B)^2 \rangle}{\langle B^2 \rangle} \frac{v^2}{v_D^I(v) v_T^I(v)}, \quad f_{tI}^* = \frac{3\pi}{16} \epsilon^2 \frac{v}{Rq} \frac{1}{v_D^I(v)}, \quad (22)$$

$v_T^I(v) = v_{II} \{ [\text{erf}(v/v_I) - 3G(v/v_I)] (v_I/v)^3 + 8(v_I/v)G(v/v_I) + (8/3\sqrt{\pi})\delta \}$ ,  $v_D^I(v) = v_{II} \times \{ [\text{erf}(v/v_I) - G(v/v_I)] (v_I/v)^3 + (4/3\sqrt{\pi})(T_i/T_I)\delta(v_I/v)^2 \}$  and  $f_{tI}^*$  is obtained for a model magnetic field with circular flux surfaces, i.e.,  $B = B_0/(1 + \epsilon \cos \theta)$ . The impurity viscosity coefficients in the banana regime are obtained in the form:

$$\begin{bmatrix} \mu_{I1} \\ \mu_{I2} \\ \mu_{I3} \end{bmatrix} = \begin{bmatrix} \tilde{\mu}_{i1}(\alpha = 0) \\ \tilde{\mu}_{i2}(\alpha = 0) \\ \tilde{\mu}_{i3}(\alpha = 0) \end{bmatrix} + \frac{f_t}{f_c} \frac{T_i}{T_I} \delta \begin{bmatrix} 2/3 \\ -2/3 \\ 5/3 \end{bmatrix}. \quad (23)$$

Finally we show the normalized poloidal flows of primary ions due to the thermal force  $A_2$  in the model magnetic field with circular flux surfaces. The normalized flows  $u_{i2}$  for  $\alpha = 0, 1$  and 4 are plotted as a function of the inverse aspect ratio  $\epsilon$  in Fig. 1. The impurity ions are assumed to be (a) in the plateau to Pfirsch-Schlüter regime and (b) in the banana regime. The normalized flows obtained by the conventional moment method are larger than those by our method by up to about 20 % in the range of intermediate aspect ratio. We also plot those conventional flows by dotted curves in Fig. 1.

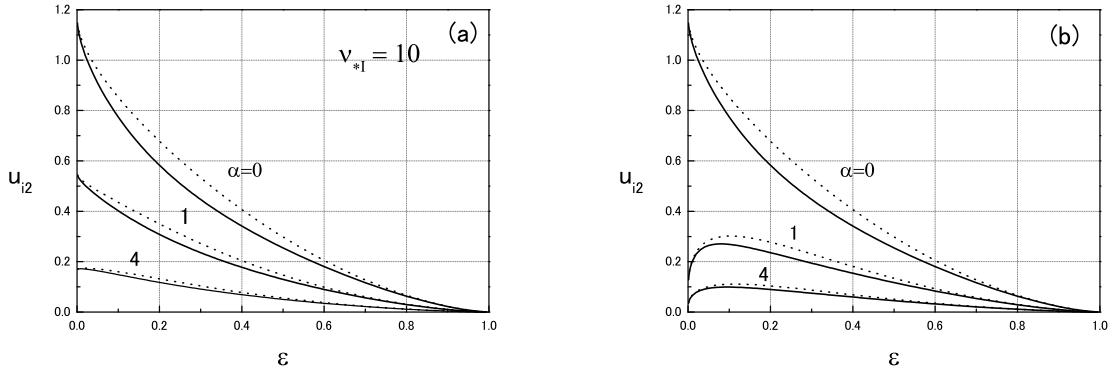


Figure 1: Normalized poloidal flow  $u_{i2}$  versus inverse aspect ratio  $\epsilon$ . For comparison, the flows obtained by the conventional moment method are also plotted by the dotted curves. The parameter  $v_{*I}$  in (a) is defined by  $v_{*I} = (16/3\pi)(f_t/f_c)(1 - \epsilon^2)Rq/(\epsilon^2 v_I \tau_{II})$ .

## References

- [1] M. Taguchi, Phys. Plasmas **20** 014505 (2013).
- [2] M. Taguchi, Phys. Plasmas **21** 052504 (2014).