

## Thermal Ion Orbit Loss and Radial Electric Field in DIII-D

J.S. deGrassie<sup>1</sup>, J.A. Boedo<sup>2</sup>, B.A. Grierson<sup>3</sup>, and R.J. Groebner<sup>1</sup>

<sup>1</sup>*General Atomics, P.O. Box 85608, San Diego, California, 92186-5608 USA*

<sup>2</sup>*University of California San Diego, La Jolla, California, 92093, USA*

<sup>3</sup>*Princeton Plasma Physics Laboratory, Princeton New Jersey 08543, USA*

A simple neoclassical (NC) model for the return current balancing the thermal ion orbit loss current is consistent with measurements of the edge electric field just inside the outboard near-midplane LCFS in DIII-D. This local model has been motivated by recent Mach probe measurements of an edge co- $I_p$  bulk ion flow layer in this region [1,2], with the velocity profile typically in agreement with a simple thermal ion orbit empty loss-cone model [2-4]. In addition, recent particle simulations have verified the existence of a steady-state ion particle distribution function (pdf) with a depleted loss cone region [5]. The probe measurements have also shown that there can be a relatively large positive radial electric field,  $E_r \sim 10$  kV/m, just inside the LCFS in Ohmic conditions in DIII-D. These two emerging experimental indications, an empty loss-cone edge pdf from simulations and a significant probe-measured positive  $E_r$  in Ohmic discharges have motivated the development of this return current model. Understanding the drive for edge  $E_r$  is important as  $E_r$  shear is assumed necessary for an edge transport barrier.

The NC return current results from the  $E_r/B_\theta$  precessing trapped ions undergoing friction with passing ions, where  $B_\theta$  is the poloidal magnetic field strength. The empty loss cone pdf is utilized, and all the boundary regions in velocity space for trapped, passing, and lost ions are taken to depend upon the local  $E_r$  [4]. The empty loss cone pdf results in a co- $I_p$  bulk ion velocity,  $U_{loss}$ , peaking near the outboard LCFS and decaying going inward on the scale of the poloidal ion gyroradius,  $\rho_\theta = \bar{v}/\omega_\theta$ , with  $\bar{v} = \sqrt{T_i/M_i}$  and  $\omega_\theta = Z_i e B_\theta / M_i$  [3,4]. The friction from the portion of  $U_{loss}$  carried by co-passing ions drives a return current even if  $E_r = 0$ . For relatively high collisionality conditions (i.e. low  $T_i$ )  $E_r$  may be positive with sufficient return current driven by  $U_{loss} - E_r/B_\theta$  to balance the loss current.

For the loss current we consider only a region within roughly one  $\rho_\theta$  of the LCFS, where we make the approximation that the loss cone is the relatively simple region defined by all pitch angles that allow counter- $I_p$  starting ions to reach the X-point of a diverted discharge [3,4]. This velocity space boundary depends upon  $E_r$ , and with  $E_r \neq 0$  becomes dependent on

the particle kinetic energy,  $M_i v^2/2$  [4]. The velocity space sink computation is made tractable by assuming the width of the boundary layer pdf at the loss pitch angle,  $p_x$ , is given by diffusion in pitch angle taken over a parallel streaming time parameter,  $\tau_{||} = L_{||}/|v_{||}| = L_{||}/|\cos(p_x)| v$ , with  $L_{||}$  the path length from the outboard starting point to the X-point loss. This width becomes  $\sqrt{\langle \Delta \xi^2 \rangle} = \sqrt{2D_{\xi\xi}\tau_{||}} = \sqrt{v_d(1-\xi^2)\tau_{||}}$ , with  $v_d$  the ion-ion deflection frequency and  $\xi = \cos(p)$  taken at  $p_x$ . Model locality means that no gradients are included, so there are no Pfirsch-Schlüter or diamagnetic flows.

Performing the integrations over a Maxwellian pdf outside the loss cone in velocity space we obtain, for a single ion species,  $j_{\text{loss}} = Z_i e n_i \rho_\theta v_d \tilde{\lambda} I_{\text{loss}}$  for the local loss current, with  $I_{\text{loss}}$  a dimensionless number of order unity and  $\tilde{\lambda} = (\bar{v}/v_d L_{||})^2 = (\text{mfp}/L_{||})^{1/2}$ . The return current is  $J_{\text{ret}} = -Z_i e n_i \rho_\theta v_d f_{\text{co}} f_{\text{tr}} (\tilde{U}_{\text{loss}} + \Delta)$ , with  $\Delta = -E_r/B_\theta \bar{v} = R \partial \Phi / \partial \psi / \bar{v}$ , with  $\Phi = \Phi(\psi)$  the electric potential assumed constant on a poloidal-flux surface,  $\tilde{U}_{\text{loss}} = U_{\text{co}}/\bar{v}$ , with  $U_{\text{co}}$  the portion of  $U_{\text{loss}}$  carried by co-passing ions, and  $f_{\text{co}}$  and  $f_{\text{tr}}$  the fraction of co-passing and trapped ions;  $f_{\text{co}}$ ,  $f_{\text{tr}}$ , and  $I_{\text{loss}}$  are functions of  $\Delta$ . In the integrations we have approximated  $v_d = v_d(\bar{v})$ , while retaining the  $v$  dependence of the other integrand terms. We note that our  $j_{\text{loss}}$  agrees reasonably well with Shaing's kinetic theory calculation [6] with  $\tilde{\lambda} \rightarrow 1/\sqrt{v^*}$  and  $\Delta$  and  $\rho_\theta$  used to construct an effective squeezing factor. There also can be a trapped electron contribution to  $j_{\text{ret}}$ , but for typical DIII-D edge conditions this is negligible.

Including the NC polarization current provides the equation for the temporal evolution of  $E_r$ ,  $\epsilon_{\text{NC}} \partial E_r / \partial t = -(j_{\text{ret}} + j_{\text{loss}})$ , with  $\epsilon_{\text{NC}} = n_i M_i / B_\theta^2$ , the NC dielectric value [7]. Steady state is established on the  $v_d^{-1}$  timescale and we will apply this limit,  $j_{\text{ret}}(\Delta) + j_{\text{loss}}(\Delta) = 0$ . This limit neglects any time lag between  $j_{\text{ret}}$  and  $j_{\text{loss}}$ , which could lead to oscillation in  $E_r$  in the temporal equation.

In comparing with DIII-D edge data we have actually extended the above outlined derivation to include the carbon impurity because of the practical importance of  $Z_{\text{eff}} > 1$  in the solutions. Despite the numerous approximations both explicit and implicit, such as using a local model for an inherently nonlocal system, we find enough agreement with the data to greatly expand these comparisons in the future. We take DIII-D EFIT equilibria, measurements of  $E_r$ ,  $T_i$ ,  $n_e$ , and  $Z_{\text{eff}}$ , and compute the parameters in the steady-state equation, written as  $\tilde{\lambda}_1 = J_{\text{ret}}/J_{\text{loss}}$ , then compare this computed value for  $\tilde{\lambda}_1$  with the measurements. Here,  $J_{\text{ret}}$  and  $J_{\text{loss}}$  are scaled  $j_{\text{ret}}$  and  $j_{\text{loss}}$  with  $J_{\text{ret}}$  consisting of 4 terms for the two ion species with like and cross collisions. The scaling isolates  $\tilde{\lambda}_1$  as being computed always for the main ion  $Z$  alone, for ease of comparison between different discharge conditions and

equilibria, etc. Note that  $\tilde{\lambda}_1 \sim T_i$ ,  $J_{\text{ret}} \sim \Delta \sim -E_r$ , so the solutions require more negative  $E_r$  with increasing  $T_i$  [8], and this is borne out in the measured data.

A comparison of the model and data is shown in Fig. 1 for DIII-D conditions 25 ms after an H-mode transition resulting from  $\sim 600$  kW of EC heating and  $\sim 500$  kW of NB heating. The low power NB for CER provided the  $T_i$ ,  $E_r$ , and  $Z_{\text{eff}}$  measurements. In the region one  $\rho_\theta$  inside the LCFS we find that  $\tilde{\lambda}_1$  measured agrees with the model computed value. In this single null shape with the VB drift toward the X-point,  $L_{\parallel}=20$  m. The computation is extended inside of  $\rho_\theta$  to show the trend, but the assumption for the loss cone region is not valid there. The measured  $\Delta$  shows a negative  $E_r$  well in this region, with  $\Delta=0.4$  corresponding to  $E_r \approx -13$  kV/m. The model validity is likely best for  $\tilde{\lambda}_1 \sim 1$ , and not for large or small values.

The sensitivity of the model to the measurements is shown in Fig. 2 where we plot contours of  $\tilde{\lambda}_1$  computed versus  $\Delta$  and  $Z_{\text{eff}}$  at  $\tilde{\psi}=0.98$  in Fig. 1. The solid lines indicate the measured  $\Delta$  and  $Z_{\text{eff}}$  from CER, the shaded region the error bars, and the contour of the measured  $\tilde{\lambda}_1$  value is shown, which has  $\sim \pm 10\%$  error band around it. For fixed  $\tilde{\lambda}_1$ , constant  $T_i$ , an increase in  $Z_{\text{eff}}$  makes  $E_r$  less negative for return current

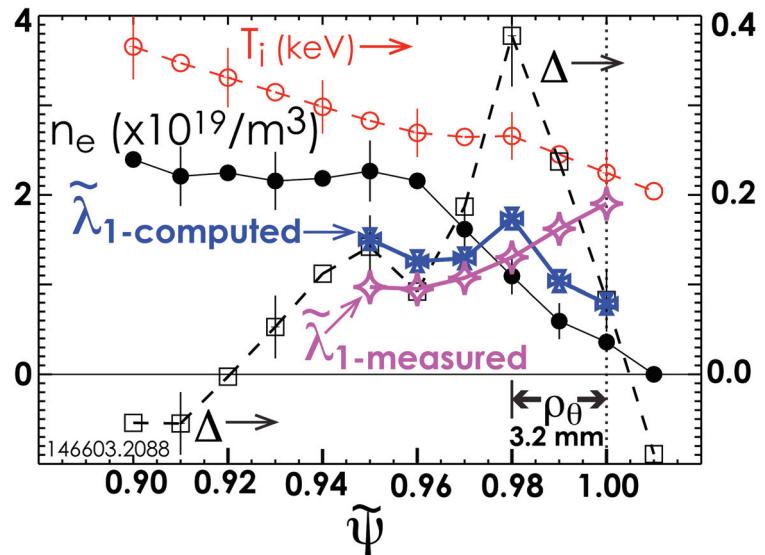


Fig. 1. Computed and measured  $\tilde{\lambda}_1$ ,  $\Delta$ ,  $T_i$ , and  $n_e$  vs normalized  $\psi$  shortly after a L-H transition in DIII-D.  $\tilde{\lambda}_1$  and  $T_i$  are on the right hand scale.

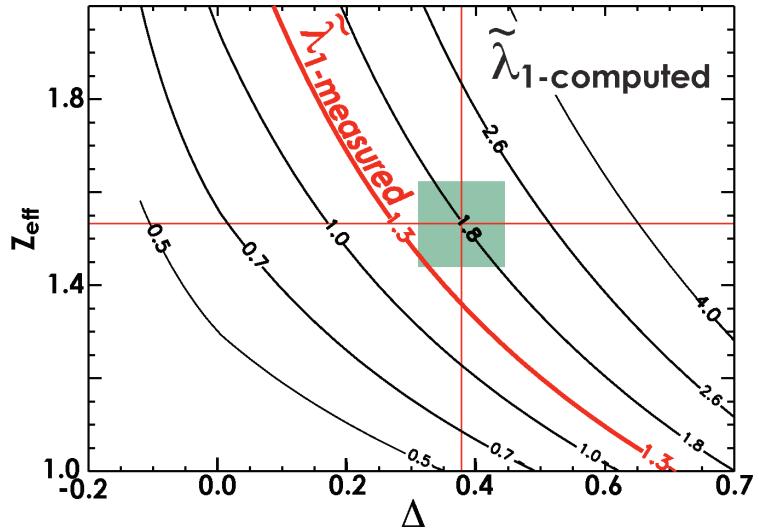


Fig. 2. Contours of  $\tilde{\lambda}_1$ -computed versus  $\Delta$  and  $Z_{\text{eff}}$  at  $\tilde{\psi}=0.98$  with the other conditions in Fig. 1. fixed. The shaded region indicates the error bars, and the measured value contour is shown.

balance. Within the error bar limits there is a significant variation of the computed  $\tilde{\lambda}_1$ , nearly a factor of 2, indicating the challenge for detailed experimental verification.

A phenomenological circuit for the generation of  $E_r$  in this model is shown in Fig. 3, in the steady-state limit having  $|j_{\text{ret}}| = |j_{\text{loss}}|$ . For a given loss current  $E_r$  is determined by the “emf” from  $U_{\text{loss}}$  and the “orthogonal conductivity”,  $\sigma_{\perp}$  [9]. Other species can contribute to the two legs of the circuit, most likely perhaps fast ions in NBI or ICRF heated plasmas, through  $j_{\text{loss}}$  or the emf term.

The ion radial force balance equation must of course be satisfied on the timescale of interest. In the interior, sources of particles, energy and momentum coupled with transport determine the kinetic profiles. Then, some neoclassical or turbulence effect determines thermal ion poloidal velocity and we can use force balance to determine  $E_r$ . However, in the very edge with a dominant sink, radial current balance can determine  $E_r$  and it is probable that the least constrained quantity there is the poloidal velocity.

The evolution of the return current with heating, with increasing  $T_i$ , with the required increasingly negative  $E_r$  may be important for the L-H transition bifurcation. Measurements have shown that the shear in  $E_r$ ,  $dE_r/dr$ , precedes an increase in the (negative) edge pressure gradient [10]. The natural localization of this neoclassical return current, due to the localization of the thermal loss current, provides an increasingly larger  $E_r$  shear with heating.

This work was supported by the US Department of Energy under DE-FC02-04ER54698, DE-FG02-95ER54309, DE-FG02-07AER54917, and DE-AC02-09CH11466.

- [1] J.A. Boedo et al., *Phys. Plasmas* **18**, 035510 (2011).
- [2] S.H. Müller et al., *Phys. Rev. Lett.* **106**, 115001 (2011).
- [3] J.S. deGrassie et al., *Nucl. Fusion* **49**, 085020 (2009).
- [4] J.S. deGrassie et al., *Nucl. Fusion* **52**, 013010 (2012).
- [5] D.J. Battaglia et al., *Nucl. Fusion* **53**, 113032 (2013).
- [6] K.C. Shaing, *Phys. Fluids B* **4**, 3310 (1992).
- [7] J.S. deGrassie et al., *Phys. Plasmas* **13**, 112507 (2006).
- [8] J.A. Heikkinen et al., *Phys. Rev. Lett.* **84**, 487 (2000).
- [9] Allen H. Boozer, *Phys. Fluids* **19**, 149 (1976).
- [10] R.A. Moyer, et al., *Phys. Plasmas* **2**, 2397 (1995).

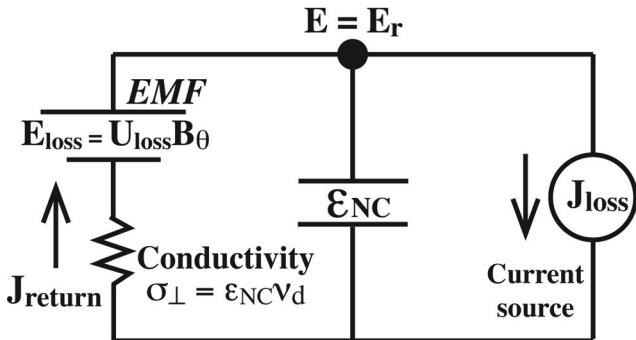


Fig. 3. Phenomenological circuit for generation of edge  $E_r$  in the “steady state” limit,  $v_d t \gg 1$ .  $U_{\text{loss}}$  presents an EMF, and  $\epsilon_{\text{NC}}$  is the neoclassical dielectric.