

## New evidence and impact of electron transport non-linearities based on new perturbative inter-modulation analysis

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and the LHD Experiment

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### Introduction

In the Large Helical Device (LHD) a new type of frequency inter-modulation experiment is applied to the electron transport channel, thereby quantifying the amount of non-linear contributions within a single experiment [1]. The spatial dependency of the non-linearity is estimated using Volterra series, an extension of Taylor series. This calculated non-linear component shows some coherence with the measured turbulence level. Moreover, the effect of changing the equilibrium due to the non-zero mean perturbation is quantified showing a significant change between measured and reconstructed amplitude and phase profiles.

### How to quantify non-linear contributions

Can we compare linearized physics models with experimental perturbative measurements:

- Yes: response is linear → same harmonic components temperature response as perturbation
- No: response is non-linear → new harmonic components in temperature response compared to perturbation

Hence, new harmonic components in the temperature response can be used to quantify plasma non-linearities. Assuming that the heat is locally absorbed near the deposition location  $\rho_0$ , the

temperature response to a perturbation in heating power has the same harmonic components as that of the heating power modulation, i.e.,

$$T_d(\rho_0, t) = T_0 + \underbrace{A_1 \cos(f_1 t)}_{P_1} + \underbrace{A_2 \cos(f_2 t)}_{P_2} + h.o.c. \quad (1)$$

Both the time-trace and harmonic components of the two modulated ECRHs and ECE measurements are shown in Fig. 1 and Fig. 2.

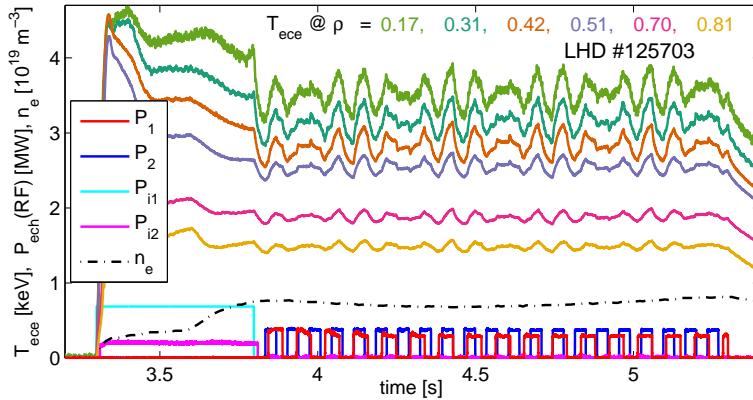


Figure 1: Overview of LHD discharge #125703 showing the time-traces of the calibrated launched EC wave power generated by four gyrotrons; the electron temperature perturbations at different  $\rho$  measured with ECE; and the line-averaged density  $n_e$ .

### Interpretation harmonic components through Taylor series

Assume that the temperature is a general function of the plasma parameters and perturbative heating source quantified at the deposition location  $T(\rho, t) = h(T_{eq}(\rho), T_d(t))$ . The Taylor expansion of this model around an equilibrium where  $T_d = 0$  is given by [2]

$$T(\rho, t) = h(T_{eq}, 0) + \underbrace{\left. \frac{\partial h(T_{eq}, T_d)}{\partial T_d} \right|_{T_d=0} T_d(t)}_{\text{linear contribution}} + \underbrace{\left. \frac{1}{2!} \frac{\partial^2 h(T_{eq}, T_d)}{\partial T_d^2} \right|_{T_d=0} T_d^2(t) + \left. \frac{1}{3!} \frac{\partial^3 h(T_{eq}, T_d)}{\partial T_d^3} \right|_{T_d=0} T_d^3(t) + \dots}_{\text{non-linear contributions}} \quad (2)$$

or in short-hand notation  $T(\rho, t) = h(T_{eq}) + K_1 \star T_d(t) + K_2 \star T_d^2(t) + K_3 \star T_d^3(t) + \dots$ , where  $\rho$  dependencies of the  $K$ 's have been omitted. Substituting (1) and expanding (2) gives

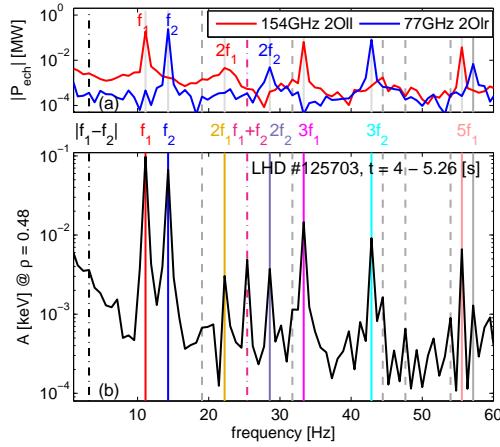


Figure 2: Amplitude spectra of (a) the calibrated EC power and (b) the ECE-measurements at  $\rho = 0.48$ . The solid lines show the contributions at the perturbed harmonics. The dashed-dotted lines show the locations of the primary inter-modulations and the grey-dashed lines show the secondary inter-modulations.

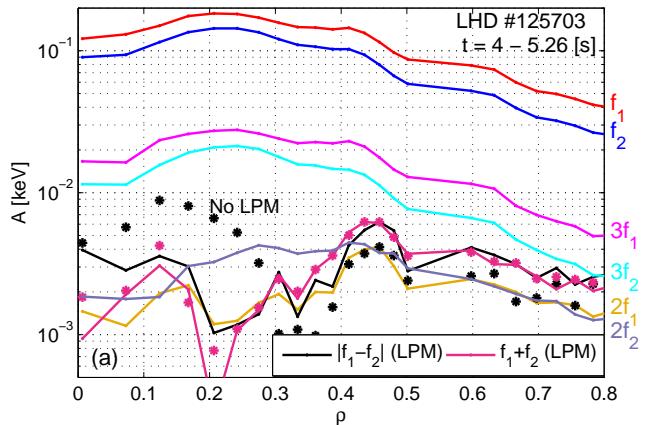


Figure 3: Profiles of (a) amplitude. The solid lines of  $|f_1 \pm f_2|$  show the estimates compensated for the transient with the Local Polynomial Method (LPM) and the stars (\*) without.

$$\begin{aligned}
 T(\rho, t) = & h(T_{eq}) + K_1 T_0 + K_2 T_0^2 & (a) \\
 + & (K_1 + 2K_2 T_0) (A_1 \cos(f_1 t) + A_2 \cos(f_2 t)) & (b) \\
 + & \frac{1}{2} K_2 (A_1^2 \cos(2f_1 t) + A_2^2 \cos(2f_2 t)) & (c) \\
 + & K_2 A_1 A_2 (\cos((f_1 - f_2)t) + \cos((f_1 + f_2)t)) & (d) \\
 + & h.o.t & (e)
 \end{aligned} \quad (3)$$

In standard experiments using a single block-wave modulation source, or sources with the same waveform,  $f_2$  is always a multiple of  $f_1$ . As such contributions due to (3b), (3c), and (3d) cannot be uniquely distinguished. Instead, we use two sources with different frequencies  $f_1$  and  $f_2$  such that the intermodulation frequencies  $f_1 + f_2$  and  $f_1 - f_2$  do not coincide with multiples of  $f_1$  and  $f_2$ . Consequently, new harmonic perturbations can only occur at  $f_1 + f_2$  and  $f_1 - f_2$ , due to plasma non-linearities.

In the experiment at LHD, the intermodulation component is clearly visible at  $f_1 + f_2 = 25.4$  Hz and changes as function of  $\rho$  as shown in Fig. 3. Moreover, as the non-linear component is 2 orders lower than the main harmonic components  $f_1$  and  $f_2$  the non-linearity must be classified as being weak.

### Effect of changing equilibrium on profiles

In (3b) can be seen that the non-linearity in combination with a non-zero mean perturbation  $T_0 \neq 0$  modifies the profile (change of equilibrium). This change can be studied by measuring

$K_2$  from (3d). This results in Fig. 4.

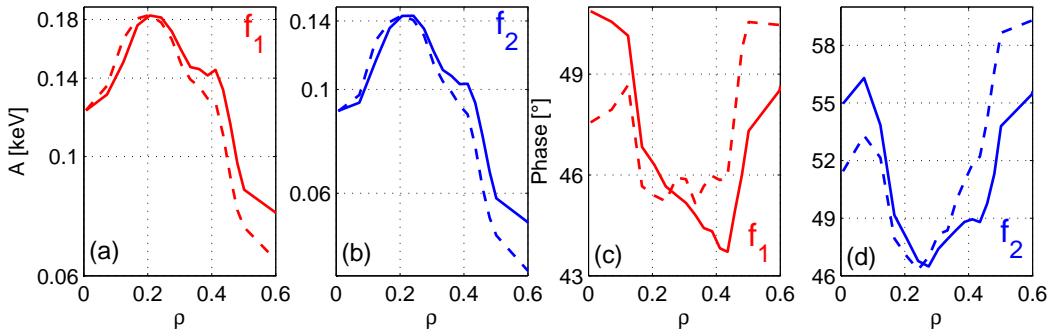


Figure 4: (a-d) Amplitude and phase profiles of  $f_1$  and  $f_2$  of the original measured profiles (full), and the profiles compensated for the non-linearities using  $K_2$  in Volterra form (dashed).

### Comparison local non-linear contribution and turbulence level

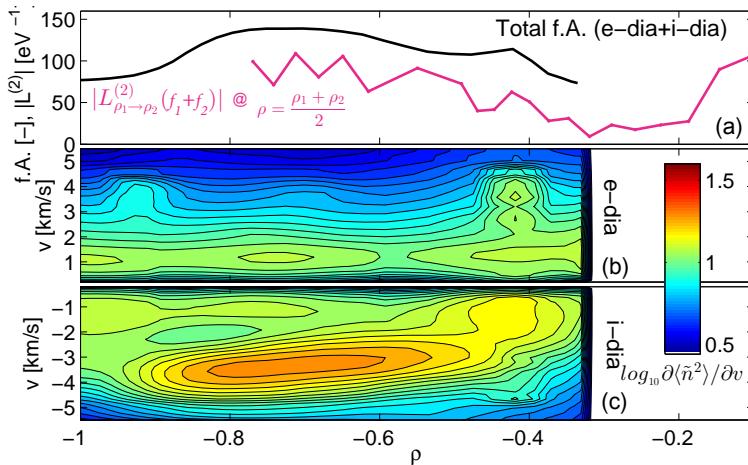


Figure 5: (a) Amplitude of non-linear component  $L_{\rho_1 \rightarrow \rho_2}^{(2)} (f_1 + f_2) \approx \frac{K_1(\rho_2, f_1 + f_2)}{K_1(\rho_1, f_1 + f_2)} \approx \frac{K_1(\rho_2, f_1 + f_2)}{K_1(\rho_1, f_1 + f_2)} \approx \frac{T(\rho_2, f_1 + f_2) - L_{\rho_1 \rightarrow \rho_2}^{(1)}(f_1 + f_2)T(\rho_1, f_1 + f_2)}{2T(\rho_1, f_1 + f_2)T(\rho_1, f_1)}$  versus total fluctuation amplitude. (b-c) Phase velocity profile  $\partial \langle \tilde{n}^2 \rangle / \partial v$  (in lab. frame direction), with in red the regions of large fluctuations (turbulence), see details [3]

The non-linear component is compared to the turbulence level showing some coherence, but further research is necessary to confirm this relationship. Making a number of additional assumptions the non-linear (plasma) component independent of the size of the perturbation can be estimated. This results in the purple line in Fig. 5.

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